



Section 9

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0034-00
InterTrust Ref. No.: IT-36.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Stephen P. Weeks, et al.) Group Art Unit: 2131
)
Serial No.: 09/863,199 /) Examiner: Not Yet Assigned
)
Filed: May 21, 2001)
)
For: TRUST MANAGEMENT)
SYSTEMS AND METHODS)

Attention: **BOX MISSING PARTS**
Commissioner for Patents and Trademarks
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

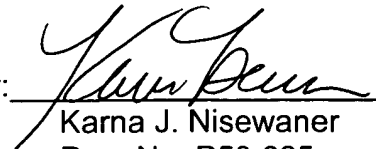
In response to the Notice to File Missing Parts of Nonprovisional Application mailed July 25, 2001, Applicants submit a Declaration and Power of Attorney for filing in this application and a copy of the Notice of Missing Parts of Nonprovisional Application.

Applicants also submit an executed Assignment and Assignment Recordation Form Cover Sheet.

We enclose our check in the amount of \$2,518, which includes the application fee of \$740.00, the additional claims fee of \$168.00, the surcharge fee of \$130.00, an Assignment recordation fee of \$40.00 and an extension of time fee of \$1,440.00.

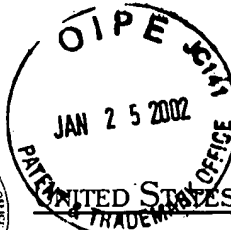
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 
Karna J. Nisewaner
Reg. No. P50,665

Dated: January 24, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,199	05/21/2001	Stephen P. Weeks	7451.0034-00

CONFIRMATION NO. 8932

FORMALITIES LETTER



OC000000006338072

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, DC 20005-3315

Date Mailed: 07/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$160.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1000.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

JUL 26 2001

01/29/2002 CCHAU1 00000151 09863199

01 FC:101	740.00 OP
02 FC:102	168.00 OP
03 FC:105	130.00 OP

FINNEGAN, HENDERSON,
FARABOW, GARRETT & DUNNER, L.L.P.